

**BOARD RESOLUTION ADOPTING THE COMPLIANCE REVIEW REPORT AND
FINDINGS BY THE SPB COMPLIANCE REVIEW DIVISION OF
THE CALIFORNIA HORSE RACING BOARD**

WHEREAS, the State Personnel Board (SPB or Board) at its duly noticed meeting of October 10, 2013, carefully reviewed and considered the attached Compliance Review Report of the California Horse Racing Board submitted by SPB's Compliance Review Division.

WHEREAS, the Report was prepared following a baseline review of the California Horse Racing Board's personnel practices. It details the background, scope, and methodology of the review, and the findings and recommendations.

NOW, THEREFORE, BE IT RESOLVED, that the Board hereby adopts the Report, including all findings and recommendations contained therein. A true copy of the Report shall be attached to this Board Resolution and the adoption of the Board Resolution shall be reflected in the record of the meeting and the Board's minutes.



SUZANNE M. AMBROSE
Executive Officer



801 Capitol Mall Sacramento, CA 95814 | www.spb.ca.gov

Governor Edmund G. Brown Jr.

September 30, 2013

Jackie Wagoner
Assistant Executive Director
California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95825

RE: Compliance Review Findings and Recommendations

Dear Ms. Wagoner:

The State Personnel Board (Board or SPB) conducted a baseline compliance review of the California Horse Racing Board (CHRB)'s examinations, appointments, EEO program, and personal services contracts during the period of May 1, 2011 through October 31, 2012. The primary objective of the review was to determine if CHRB's personnel practices, policies, and procedures complied with state civil service laws and Board regulations, and to recommend corrective action where deficiencies were identified.

CHRB provided the documents that SPB requested. A cross-section of CHRB's examinations, appointments, and personal services contracts were selected for review to ensure that samples of various examinations and appointment types, classifications, and levels were analyzed. The review of the EEO program included examining written policies and procedures, the EEO officer's role and duties, and the internal discrimination complaint process. The SPB also interviewed appropriate CHRB staff.

The Compliance Review Division (CRD) of the SPB found no deficiencies in CHRB's administration of examinations, appointments, EEO program, or personal services contracts. The CRD will submit its findings to the five-member Board and recommend adoption. The Board may issue a Resolution adopting the findings and recommendation as stated herein, or the Board may order its own findings and recommendations. In either event, you will be notified of the Board's final decision. The Board's Resolution will also be posted on our website.

Compliance Review Findings and Recommendations
Jackie Wagoner
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We greatly appreciated the cooperation and assistance provided by CHRB personnel. If you have questions, please feel free to contact me at (916) 651-0924.

Sincerely,

A handwritten signature in black ink, appearing to read "James L. Murray", with a long horizontal flourish extending to the right.

James L. Murray, Chief
Compliance Review Division
State Personnel Board

cc: Wendy Voss – Chief, Administration Division